

For Aviation Operations Research

The National Center of Excellence for Aviation Operations Research

March 15, 2005

Scott Simcox Program Manager and Research Development Director

NEXTOR Summary

- NEXTOR Program Overview
 - Vision and strategic goals
 - Leadership and administrative organization
- NEXTOR and the FAA Flight Plan
 - Aviation safety
 - Increased Capacity
 - Organizational excellence
 - International leadership
- What's next for NEXTOR
 - Challenges
 - Opportunities
 - NEXTOR Next year



For Aviation Operations Research

NEXTOR Program Overview

- Vision and strategic goals
- NEXTOR directors
- Program Office



Vision and Strategic Goals

- To lead the aviation community by advancing new ideas and paradigms for aviation operations, educating and training aviation professionals, and promoting knowledge exchange among industry, government, and academic leaders
 - Continue to build on our strong base of collaborative research and innovative thinking that will address critical needs in the NAS
 - Increase the breadth of aviation operations research knowledge through short courses and degree programs at each of the NEXTOR universities
 - Sponsor frequent conferences and seminars among the senior leadership of the aviation industry, government, and academia

NEXTOR Directors

- Managed by an Executive Committee composed of one Co-Director from each of the five NEXTOR universities
 - Dr. Michael Ball, University of Maryland
 - Dr. Arnie Barnett, Massachusetts Institute of Technology
 - Dr. George Donohue, George Mason University
 - Dr. Mark Hansen, University of California, Berkeley
 - Dr. Toni Trani, Virginia Tech
- Responsibilities of the Executive Committee
 - Provide strategic direction for the consortium
 - Respond to requests for research or educational services
 - Provide oversight for the NEXTOR Program Office

NEXTOR Program Office at the University of California, Berkeley



- Provides program office support to the five universities that comprise the NEXTOR consortium
 - Includes organizational structure, management and operations for NEXTOR
 - Provides "single face to the customer" for all NEXTOR administrative and operational issues
 - Enhances outreach efforts from NEXTOR to the aviation operations and research community
 - Generates interest in aviation operations research among university students and researchers
 - Facilitates communication between researchers and project sponsors for all five NEXTOR universities



For Aviation Operations Research

NEXTOR and the FAA Flight Plan

- -Safety
- -Increased capacity
- -Organizational Excellence
- -International leadership

NEXTOR and Aviation Safety

- Safety Oversight System Analysis PI Dr. Mark Hansen –
 University of California, Berkeley
 - Developed comprehensive history of Aviation Oversight in the United States from the dawn of aviation to the present
 - Completed comparison of aviation safety oversight systems in industries with a comparable requirement for extremely low failure rates
- Health of An Air Carrier from the Perspective of Safety PI Dr. Arnie Barnett – MIT
 - Produced several reports, including
 - On the FAA's Proposed New System-wide Air Safety Indicator
 - The Health of an Air Carrier from the Perspective of Safety
 - Developing an experiment to exploit information technology and analysis methods to improve tests for aviation safety compliance
- Probabilistic Assessment of Wake Turbulence Risk PI Dr.
 George Donohue George Mason University



NEXTOR and **System Capacity**

- Congestion Management at La Guardia Airport Co-PIs Dr. Karla Hoffman, George Mason University, and Dr. Michael Ball, University of Maryland
 - Develop concepts for an economically efficient allocation of scarce resources
 - Conducted several strategic games to discover operational and administrative issues and to determine how players might react to future scenarios
 - Showed opportunity for opening lines of communication between system participants
 - Excellent discovery process for government and industry
- CDM support Co-PIs Representatives from all NEXTOR universities
 - Continuing work in support of efforts to more effectively manage weather and volume related delays at major airports

NEXTOR and **Organizational Excellence**



- Strategy simulator Co-PIs Researchers representing all NEXTOR universities
 - System dynamics model to determine National Airspace system response to industry, economic, political, and other governmental inputs
 - Development focused on how potential world and industry developments might impact the FAA revenue stream
- Development of performance measures and facility condition indices – PI – Dr. Mark Hansen, University of California, Berkeley, with Dr. Jasenka Rakas
 - Initiated project to develop Facility Condition Indices for unstaffed facilities and a portion of the non-operational infrastructure
 - Expectation that we will eventually be able to develop buy-maintain-dispose benefit assessments

NEXTOR and International Leadership



- Students and international excellence
 - Strong international representation at all NEXTOR universities
 - Students (domestic and international) among the highest scorers among Graduate Record Exams
 - Example: 9 of 22 entering Transportation Program graduate students at UC Berkeley in 2004 scored a perfect 800 on quantitative portion of GRE
 - "The sun never sets on the NEXTOR student empire"
- Sponsored NEXTOR MIT conference on how Aviation Impacts the Economies of Developing Countries
 - Brought together World Bank, industry representatives and international business community in a discussion of the positive impact of aviation in international economic development
- Exchanges with European universities
 - Robust exchange program with students from key aviation programs at universities in France
 - Frequent cross-flow of information and presentation of seminars among NEXTOR researchers with Imperial College, London

NEXTOR and International Leadership



- Concerns regarding international leadership in the university environment
 - Large numbers of outstanding international students remain grounded
 - 27 international applicants to UC Berkeley transportation program in 2004 with perfect 800 GRE quantitative scores were turned down for a lack of financial support
 - Incredible reservoir of knowledge and capability is being squandered or diverted to nontransportation fields
 - Project support from the FAA is critical to improving flow in this valuable pipeline, noting the following:
 - Many international students remain in the U.S. on graduation and provide input to the FAA as contractors, University researchers, or professors
 - Those returning to home countries do so with experience of working on FAA projects
 - Restrictions on citizenship of researchers must be carefully weighed because it could constrict an incredibly valuable pipeline of knowledge



For Aviation Operations Research

What's next for NEXTOR?

- -Challenges
- -Opportunities
- -NEXTOR next year



NEXTOR Key challenges

- Uncertain resources
 - Traditionally funded on a project by project basis
 - Drives yearly resource challenges
 - No budget line
 - No congressional earmarks
- Organizational Changes within the FAA
 - Re-organization of ATO creates requirement to affirmatively fund projects
 - Experiencing multi-month delays in initial and incremental funding due to changeover in FAA accounting systems



Opportunities for NEXTOR

- Uncertain resources drive innovative and collaborative development opportunities
 - Bringing airports into the picture on system analysis projects
 - Looking to NASA and DOD to sponsor certain research
 - Enhance and improve the industry partner program
 - Desire to bring international partners into more active participation
- Organizational changes and move for organizational excellence in the FAA drives to the heart of operations research
 - Short-term research focusing on search for efficiencies and streamlining of processes in Air Traffic Organization
 - Desire to participate in long term system development efforts through NGATS and the Joint Planning and Development Office

Unique assets NEXTOR can provide to meet FAA research requirements



- Relative academic standing
 - Times of London Higher Education Supplement (THES) rankings of world universities based on "a set of criteria valued around the world"
 - University of California, Berkeley: #2
 - Massachusetts Institute of Technology: #3
 - (Number one was Harvard University, a NEXTOR affiliate university participating in the Congestion Management Project)
 - US News and World Report Graduate School Rankings
 - Engineering Schools: MIT #1, UCB #3, UMD #16
 - Schools of Business: MIT#4, UCB #7
 - Aerospace Engineering: MIT #1, UMD #10
 - Civil Engineering: UCB #1, VPI #12
- Unparalleled faculty and strong stable of industry partners
 - 10 core faculty members representing collectively over 150 person-years of aviation-related research
 - Ability to leverage industry partnerships when unique industry expertise and/or project requirements dictate

Unique assets NEXTOR can provide to MEXT meet FAA research requirements



Continued

- Ability to bring some of the foremost students in the world to work with researchers on aviation issues and analyses
 - Allows the FAA to tap the best young minds for tomorrow's challenges
 - Structure of NEXTOR allows students to continue their FAA-related research career through post-doctoral positions at NEXTOR universities
 - Extensive record of graduates moving into academic and industry positions in support of the FAA
- Ability to provide high-value, low cost, unbiased, assessments
 - Access to industry partner and university developed modeling and simulation and economic analysis tools
 - Commitment to academic excellence versus program growth
 - Substantial majority of researcher salaries funded by universities
 - Working with a very small non-research professional staff

NEXTOR Next year

- Continue work in support of the FAA Flight Plan
 - Continue safety analysis and assessment work
 - Enhancement of strategy simulator activities
 - Congestion management initiatives
 - New initiative with a project structure similar to the Congestion Management project
- Continue to expand outreach efforts with other organizations, both domestic and international
 - Outreach with Euro-control and European universities
 - Expansion of discussions with Asia
- Continuation of education and knowledge exchange initiatives
 - Congestion management and Performance measurement conferences slated for later this year

Summary

- NEXTOR is a vibrant research and education organization with outstanding capabilities and resources for the FAA
- Projects, faculty interests, and expertise span all four major thrusts of the FAA Flight Plan
- Challenges and opportunities are in abundance at NEXTOR and the FAA, and we expect to meet the challenges and embrace the opportunities that come our way